SUBCONTRACTOR COST ACCOUNTING STANDARDS NOTICES AND CERTIFICATION

This notice is in four parts, identified by Roman numerals I through IV. Bidders shall examine each part and provide the requested information in order to determine Cost Accounting Standards (CAS) requirements applicable to any resultant contract. The applicable CAS dollar threshold is identified in the program-specific customer flowdowns incorporated in the solicitation and any resulting contract.

I. DISCLOSURE STATEMENT - COST ACCOUNTING PRACTICES AND CERTIFICATION

A. Any contract subject to CAS resulting from this solicitation will be subject to the requirements of the Cost Accounting Standards Board (48 CFR Chapter 99), except for those contracts which are exempt as specified in 48 CFR Subpart 9903.201-1.

B. Any bidder submitting a proposal which, if accepted, will result in a contract subject to the requirements of 48 CFR Chapter 99 must, as a condition of contracting, submit a Disclosure Statement as required by 48 CFR 9903.202. When required, the Disclosure Statement must be submitted as a part of the bidder's proposal under this solicitation unless the bidder has already submitted a Disclosure Statement disclosing the practices used in connection with the pricing of this proposal. If an applicable Disclosure Statement has already been submitted, the bidder may satisfy the requirement for submission by providing the information requested in Paragraph C of Part I, below.

CAUTION: In the absence of specific regulations or agreement, a practice disclosed in a Disclosure Statement shall not, by virtue of such disclosure, be deemed to be a proper, approved, or agreed-to practice for pricing proposals or accumulating and reporting contract performance cost data.

C. Check the appropriate box below:

☐ 1. Certificate of Concurrent Submission of Disclosure Statement

The bidder hereby certifies that, as a part of the proposal, copies of the Disclosure Statement have been submitted as follows: (i) original and one copy to the cognizant Administrative Contracting Officer (ACO) or cognizant Federal agency official authorized to act in that capacity (Federal official), as applicable, and (ii) one copy to the cognizant Federal auditor.
(Disclosure must be on Form Number CASB-DS-1 or CASB-DS-2, as applicable. Forms may be obtained from the cognizant ACO or Federal official and/or from the loose-leaf version of the FAR.)

Date of Disclosure Statement: _____

Name and Address of Cognizant ACO or Federal official where filed:

_____

_____

_____

The bidder further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

☐ 2. Certificate of Previously Submitted Disclosure Statement

The bidder hereby certifies that the required Disclosure Statement was filed as follows:

Date of Disclosure Statement: _____

Name and Address of Cognizant ACO or Federal official where filed:

_____

_____

_____

The bidder further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement.

☐ 3. Certificate of Monetary Exemption from Requirement to File a Disclosure Statement

The bidder hereby certifies that the bidder, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling more $50 million in the cost accounting period immediately preceding the period in which this proposal was submitted. The bidder further certifies that if such status changes before an award resulting from this proposal, the bidder will advise the Contracting Officer/ Buyer immediately.

☐ 4. Certificate of Interim Exemption from Requirement to File a Disclosure Statement

The bidder hereby certifies that (i) the bidder first exceeded the monetary exemption for disclosure, as defined in 3 above, in the cost accounting period immediately preceding the period in which this proposal was submitted and (ii) in accordance with 48CFR, Subpart 9903.202-1, the bidder is not yet required to submit a Disclosure Statement. The bidder further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, the bidder will immediately submit a

revised certificate to the Contracting Officer/Buyer, in the form specified under 1 or 2 above, as appropriate, to verify submission of a completed Disclosure Statement.

CAUTION: Bidders currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of $50 million or more in the current cost accounting period may not claim this exemption 4. (from Section I.C prior page) Further, the exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.

II. COST ACCOUNTING STANDARDS - ELIGIBILITY FOR MODIFIED CONTRACT COVERAGE

If the bidder is eligible to use the modified provisions of 48 CFR, Subpart 9903.201-2(b) and elects to do so, the bidder shall indicate by checking the box below. Checking the box below shall mean that the resultant contract is subject to the Disclosure and Consistency of Cost Accounting Practices clause in lieu of the Cost Accounting Standards clause.

☐ The bidder hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR, Subpart 9903.201-2 (b) and certifies that the bidder is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause because during the cost accounting period immediately preceding the period in which this proposal was submitted, the bidder received less than $50 million in awards of CAS-covered prime contracts and subcontracts. The bidder further certifies that if such status changes before an award resulting from this proposal, the bidder will advise the Contracting Officer / Buyer immediately.

CAUTION: A bidder may not claim the above eligibility for modified contract coverage if this proposal is expected to result in the award of a CAS-covered contract of $50 million or more or if, during its current cost accounting period, the bidder has been awarded a single CAS-covered prime contract or subcontract of $50 million or more.

III. ADDITIONAL COST ACCOUNTING STANDARDS APPLICABLE TO EXISTING CONTRACTS

The bidder shall indicate below whether award of the contemplated contract would, in accordance with Paragraph (a)(3) of the Cost Accounting Standards clause, require a change in establishing cost accounting practices affecting existing contracts and subcontracts.

☐ Yes ☐ No

IV. REPRESENTATION OF CONTRACT EXEMPTION

If bidder's proposal exceeds the CAS dollar threshold but bidder claims an exemption from the requirements of CAS as set forth in 48 CFR Subparagraph 9903.201-1 (b), the bidder shall mark the appropriate box below. The bidder represents that:

☐ (1) It is a small business concern as set forth in FAR Part 19.
☐ (2) The proposed pricing is set by law or regulation.
☐ (3) The proposed pricing is for a firm fixed-priced or fixed-price with economic price adjustment contract for commercial items.
☐ (4) It is a foreign government or foreign government's agent or instrumentality.
☐ (5) Other exemption allowed pursuant to 48 CFR, Subparagraph 9903.201-1 (b). (Please specify the allowed exemption and provide the rationale for this claim for exemption):

_____

Company Name and Address _____

Signature of Authorized Representative _____ Date Signed _____
Sign and date by hand in ink, by applying electronic signature

Printed Name _____

Title _____

Request for Quotation #: _____

E-mail Address: _____